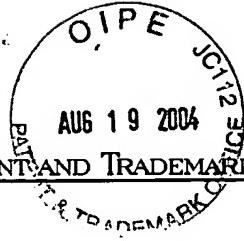


10/19/04



UNITED STATES PATENT AND TRADEMARK OFFICE



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/813,368	03/30/2004	William Thomas Hatfield	140283-1/YOD GERD:0105

## CONFIRMATION NO. 4692

## FORMALITIES LETTER



\*OC000000012942820\*

Patrick S. Yoder  
FLETCHER YODER  
P.O. Box 692289  
Houston, TX 77269-2289

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Kenneth Brakeley Welles*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Thomas Hatfield et al.

§

Group Art Unit: 2871

Serial No.: 10/813,368

§

Examiner: Unassigned

Filed: March 30, 2004

§

For: LIGHTING SYSTEM CONDITION  
MONITORING METHOD AND  
SYSTEM

§

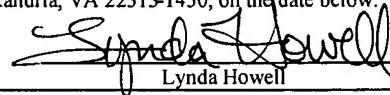
Atty Docket: 140283-1/YOD  
GERD:0105

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Mail Stop Missing Parts, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

August 13, 2004  
Date

  
Lynda Howell

Sir:

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS**

This is in Response to the Notice to File Missing Parts of Application mailed on June 14, 2004. The Notice indicated that the Declarations as filed did not include the signature of co-inventor Kenneth Brakeley Welles. The undersigned, who personally executed the Utility Application Transmittal documents has thoroughly reviewed the file, and has observed that Declarations with all signatures, including that of Kenneth Brakeley Welles were, in fact, filed with the application on March 30, 2004. Accordingly, it is believed that the Notice to File Missing Parts was issued in error, and the Office is requested to review the documents as filed and withdraw the Notice.

Due to the diverse locations and schedules of the inventors, the various inventors signed different copies of the Declaration in the present case. It is believed that this may have led to some confusion in the Office's review of the Declarations, and perhaps the oversight of the signature of

Serial no. 10/813,368  
Response to Notice to File  
Missing Parts mailed March 30, 2004  
Page 2

Mr. Wells. To clarify this matter, complete copies of the executed Declarations are resubmitted herewith in their exact form as submitted on March 30, 2004.

The undersigned would request that the Office thus waive the fee associated with late filing of the Declarations. In the event the Office believes that this fee is nevertheless due, the Office is kindly requested to treat this filing as a bona fide attempt to respond to the Notice and inform the undersigned, preferably by telephone, at its earliest convenience of the need to submit additional explanation or pay fees.

Please address all correspondence to Patrick S. Yoder at the address below.

Respectfully submitted,

Date: August 13, 2004

Py  
Patrick S. Yoder  
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Houston, Texas 77269-2289  
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